

# CHAOTIC PHENOMENA AROUND $L_3$ IN THE RPC3BP

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# OUTLINE

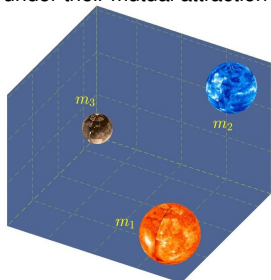
- 1 RPC3BP
  - Formulation of the problem
  - Mean motion resonance 1:1
  - The Lagrangian point  $L_3$
- 2 MAIN RESULT
- 3 HEURISTICS OF THE PROOF
  - Good variables
  - Singularities analysis
  - Exponentially small phenomenon
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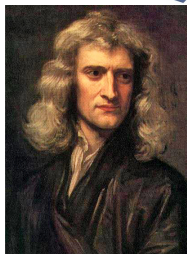
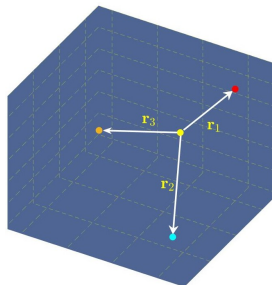
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# 3BODYPROBLEM

Consider three bodies of mass  $m_1, m_2, m_3$  under their mutual attraction law.



Assuming that the bodies are particles:



Newton's law states that, if  $r_{ij} = \|\mathbf{r}_i - \mathbf{r}_j\|$  is the norm of the difference vector

$$m_1 \ddot{\mathbf{r}}_1 = \frac{\mathcal{G} m_1 m_2}{r_{12}^3} (\mathbf{r}_2 - \mathbf{r}_1) + \frac{\mathcal{G} m_1 m_3}{r_{13}^3} (\mathbf{r}_3 - \mathbf{r}_1)$$

$$m_2 \ddot{\mathbf{r}}_2 = \frac{\mathcal{G} m_1 m_2}{r_{12}^3} (\mathbf{r}_1 - \mathbf{r}_2) + \frac{\mathcal{G} m_2 m_3}{r_{23}^3} (\mathbf{r}_3 - \mathbf{r}_2)$$

$$m_3 \ddot{\mathbf{r}}_3 = \frac{\mathcal{G} m_1 m_3}{r_{13}^3} (\mathbf{r}_1 - \mathbf{r}_3) + \frac{\mathcal{G} m_2 m_3}{r_{23}^3} (\mathbf{r}_2 - \mathbf{r}_3).$$

# RESTRICTED PLANAR CIRCULAR 3BP

Make some assumptions:

- **Planar**: the motion takes place into a plane,  $\mathbf{r}_1, \mathbf{r}_2, \mathbf{r}_3 \in \mathbb{R}^2$ .
- **Restricted**: one body is massless, i.e.  $m_3 = 0$ .
- **Circular**: the two masses bodies (primaries) moves in a circular motion of period  $T$ . As a consequence, we only need to pay attention to the massless body:  $(\mathbf{r}_3, \dot{\mathbf{r}}_3) \in \mathbb{R}^4$ .

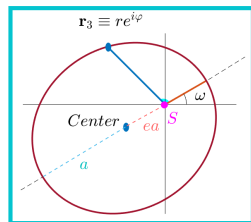
Moreover,

- Changing unities we obtain:  $\mathcal{G} = 1$ ,  $m_1 = 1 - \mu$ ,  $m_2 = \mu$ ,  $T = 2\pi$ .
- Primaries position:  $\mathbf{r}_1(t) = (\mu \cos t, \mu \sin t)$ ,  $\mathbf{r}_2(t) = ((\mu - 1) \cos t, (\mu - 1) \sin t)$ .
- When  $\mu = 0$ ,  $\mathbf{r}_1 = (0, 0)$ ,  $\mathbf{r}_2(t) = (-\cos t, -\sin t)$  and the third body follows a two body problem:

$$\ddot{\mathbf{r}}_3 = -\frac{1}{\|\mathbf{q}\|^3} \rightarrow \frac{\mathbf{r}_3}{\|\mathbf{r}_3\|^3}$$

- Then calling  $r = \|\mathbf{r}_3\|$  we have that:

$$r(\varphi) = \frac{a(1 - e^2)}{1 + e \cos(\varphi - \omega)}$$



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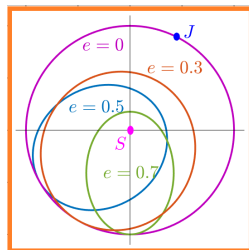
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# MEAN MOTION RESONANCE 1 : 1

## DEFINITION

The mean motion resonance 1 : 1 is a region of the phase space close to the motions of the third body having the same period  $\tau$ , as the primaries. That is,  $\tau = 2\pi$ .

- When  $\mu = 0$ , by Kepler's third law  $\frac{a^3}{\tau^2} = \frac{1}{4\pi^2}$ , being  $a$  the major axis of the ellipse.
- $\tau = 2\pi$  is equivalent to  $a = 1$ .
- The figure is on the  $\mathbf{r}_3$ - plane.
- We will study the motion around  $a = 1$  and  $e = 0$ .
- In rotating (synodic) coordinates:

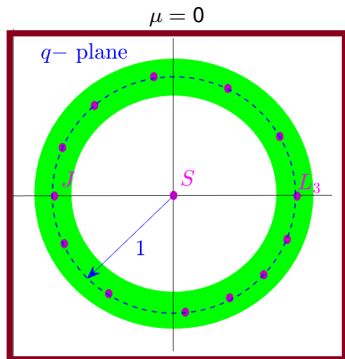


$$\mathbf{r}_3(t) = \begin{pmatrix} \cos t & -\sin t \\ \sin t & \cos t \end{pmatrix} q(t), \quad \mathbf{p}(t) = \dot{q} - \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} q(t),$$

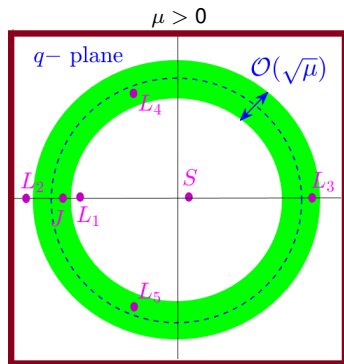
the primaries are at  $(\mu, 0)$  and  $(\mu - 1, 0)$ . The massless body follows the hamiltonian

$$\frac{\|\mathbf{p}\|^2}{2} - \mathbf{q}^\top \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} \mathbf{p} - \frac{1 - \mu}{\|\mathbf{q} - (\mu, 0)\|} - \frac{\mu}{\|\mathbf{q} - (\mu - 1, 0)\|}.$$

# $\mu$ AS A SINGULAR PARAMETER



- In these coordinates,  $e = 0$  and  $a = 1$  correspond to a circle of degenerated equilibrium points.
- The massless primary is located at  $(-1, 0)$ .



- There are 5 equilibrium points. The Euler-Lagrange fixed points.
- We study a region (the **resonance zone**) in the phase space  $O(\sqrt{\mu})$ — close to this circle.



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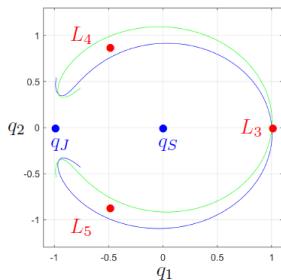
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# $L_3$ LAGRANGIAN POINT

- $L_3$  is an equilibrium point of **saddle-center** type having eigenvalues with two scales when  $\mu > 0$  is small:

$$\pm \sqrt{\mu \frac{21}{8}} (1 + \mathcal{O}(\mu)), \quad \pm i + \mathcal{O}(\mu).$$



- $L_3$  has one dimensional stable and unstable manifolds,  $W^{u,s}$  which will lie in the resonance zone.
- The figure is the projection of  $W^{u,s}$  on the  $q$ -plane.  $W^s$  in green and  $W^u$  in blue.
- Remember that the phase space is  $\mathbb{R}^4$ . We have not drawn the momenta.
- Of course the manifolds do not intersect!

## OUR GOAL

To measure the **distance** between the one dimensional stable and unstable invariant manifolds of  $L_3$  for small values of  $\mu$ . **This is a singular perturbation problem.**

# MAIN RESULT

## THEOREM

Take the section  $\Sigma = \{(q, p) \in \mathbb{R}^4 : q_1 = 0, q_2 > 0\}$  and let  $(q^{u,s}, p^{u,s})$  be the intersection of  $W^{u,s}(L_3)$  with  $\Sigma$ . Then there exist constants  $A, C$  such that for  $\mu$  small enough:

$$\|q^u - q^s\| + \|p^u - p^s\| \sim C\mu^{-\frac{1}{12}} e^{-A/\sqrt{\mu}}.$$

- The constant

$$A = \int_0^{a_+} \frac{1}{1-x} \sqrt{\frac{x}{3(x+1)(a_+ - x)(x - a_-)}} dx \sim 0.177744,$$

$$\text{with } a_{\pm} = -\frac{1}{2} \pm \sqrt{\frac{\sqrt{2}}{2}}.$$

- $C$  corresponds a Stokes constant, depending on the full jet of the hamiltonian. Can be numerically computed by means of the so called *inner equation*.

# COMMENTS

- Consider a close to integrable one

$$h_0(I) + \varepsilon f(I, \varphi), \quad I \in \mathbb{R}^2.$$

with a resonant frequency  $\partial_I h_0(0) = (0, \omega)$ .

- Under some assumptions, the normal form of the hamiltonian can be expressed

$$\frac{\omega}{\sqrt{\varepsilon}} \tilde{I} + \frac{p^2}{2} + V(q) + \frac{1}{2} \tilde{I}^2 + \mathcal{O}(\sqrt{\varepsilon}).$$

- The *unperturbed system*  $\frac{p^2}{2} + V(q)$  has typically saddle points with homoclinic connections.
- Notice that  $\dot{\varphi} = \frac{\omega}{\sqrt{\varepsilon}} + \dots$  is a *fast variable*. We have a singular perturbation setting.

## SKELTON OF THE PROOF

- Use first **Delaunay**'s variables, which provide a non explicit action-angles change of variables.
- However, these variables are not defined for our **resonance zone**: we have to consider **Poincaré** variables. **The changes of variables are not explicit.**
- We describe the **complex singularities** of the unperturbed separatrix.
- We prove the **exponentially small** splitting of separatrices.

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# DELAUNAY VARIABLES

## Analytic point of view

- Polar symplectic change of variables:

$$\frac{1}{2} \left( R^2 + \frac{G^2}{r^2} \right) - \frac{1}{r} - G + \mu \mathcal{H}_1.$$

- Take  $\alpha = L[L - (L^2 - G^2)]^{1/2}$  and

$$\mathcal{S}(r, \theta, L, G) = \theta G + \int_{\alpha}^r \left\{ -\frac{G^2}{\xi^2} + \frac{2}{\xi} - \frac{1}{L^2} \right\} d\xi.$$

- The Delaunay variables  $(\ell, g, L, G)$ , for  $|L| \neq |G|$ , are implicitly defined by

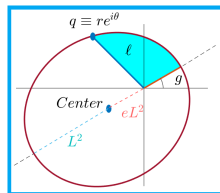
$$R = \partial_r \mathcal{S}, \quad \ell = \partial_L \mathcal{S}, \quad g = \partial_G \mathcal{S}$$

- In Delaunay variables the hamiltonian is

$$\mathcal{H}(\ell, g, L, G) = -\frac{1}{2L^2} - G + \mathcal{H}_1.$$

## Geometric point of view

- Take  $L, G, g, \ell$  and  $e(L, G) > 0$ :



- Notice that

$$r(\theta) = \frac{L^2(1 - e^2)}{1 + e \cos(\theta - g)}.$$

- When  $\mu = 0$  is a two body problem and then

$$e = e(L, G) = \sqrt{1 - \frac{G^2}{L^2}}.$$

# POINCARÉ VARIABLES

- The polar coordinates  $(r, \theta, R, G)$  are

$$q = (r \cos \theta, r, \sin \theta), \quad p = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} R \\ G \\ r \end{pmatrix}$$

The circle of equilibrium points is given by  $(1, \theta, 0, 1)$  and  $L_3 \sim (1, 0, 0, 1)$

- The region we want to study is  $\mathcal{O}(\sqrt{\mu})$ -close to this circle. Then,  $r \sim 1$ ,  $G \sim 1$  (and  $e \sim 0$ ).

## DELAUNAY COORDINATES FAIL!

The Delaunay coordinates are not defined for  $e = 0$ , that is for  $L = G = 1$ .

- We use Poincaré coordinates instead, namely  $(\lambda, \eta, L, \xi)$ :

$$\lambda = \ell + g, \quad \eta = \sqrt{L - Ge}^{ig}, \quad \xi = \sqrt{L - Ge}^{-ig}.$$

- The change has the symplectic form  $d\lambda \wedge dL + id\eta \wedge d\xi$ .
- It is analytic when  $e = 0$ , i.e.  $\xi = \eta = 0$  (**hard to check!**). We also have that  $\lambda \rightarrow \theta$  when  $e \rightarrow 0$ .
- In Poincaré variables  $L_3 = (\lambda, \eta, L, \xi) = (0, 0, 1, 0)$  and the **resonance zone** is  $\xi, \eta \sim 0$ .

- The hamiltonian in this variables is  $\mathcal{H}(\lambda, \eta, L, \xi) = -\frac{1}{2L^2} - L + \xi\eta + \mu\mathcal{H}_1$ .

# SCALINGS

- Take the usual scaling  $L = 1 + \sqrt{\mu}\Lambda$ .
- In order to make the change symplectic,  $\xi = \sqrt[4]{\mu}x$ ,  $\eta = \sqrt[4]{\mu}$  and  $t = \mu^{-1}\tau$ .

WHICH ARE NOW THE RELEVANT TERMS?

The hamiltonian (up to constant terms) is now

$$\mu^{-1} \left( -\frac{1}{2L^2} - L + \xi\eta + \mathcal{O}(\mu) \right) \equiv -\frac{3}{2}\Lambda^2 + \frac{xy}{\sqrt{\mu}} + \mathcal{H}_1.$$

- Recall that  $\theta \sim \lambda$  and  $r \sim 1$  when  $e \sim 0$ , namely when  $\mu \sim 0$ . Then  $\mu\mathcal{H}_1$  is

$$\begin{aligned} & \frac{1}{r} - \frac{1 - \mu}{(r^2 - 2\mu r \cos \theta + \mu^2)^{1/2}} - \frac{\mu}{(r^2 - 2(1 - \mu)r \cos \theta + (1 - \mu)^2)^2} \\ &= \frac{1}{r} - \frac{(1 - \mu)}{r} \left( 1 + \frac{\mu \cos \theta}{r} + o(1) \right) - \frac{\mu}{(2 - 2 \cos \lambda + o(1))^{1/2}} \\ &= \mu(1 - \cos \lambda) + \frac{\mu}{(2 - 2 \cos \lambda)^{1/2}} + o(1). \end{aligned}$$

- The hamiltonian is then

$$-\frac{3}{2}\Lambda^2 + 1 - \cos \lambda - \frac{1}{\sqrt{2 + 2 \cos \lambda}} + \frac{xy}{\sqrt{\mu}} + o(1).$$

Terms  $o(1)$  are not explicit



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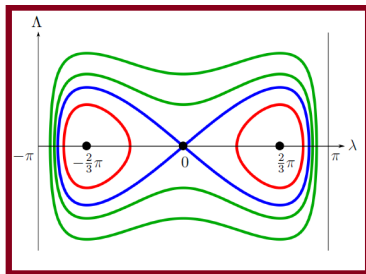
# THE HOMOCLINIC CONNECTION

The hamiltonian

$$H_0(\lambda, \Lambda) = -\frac{3}{2}\Lambda^2 + 1 - \cos \lambda - \frac{1}{\sqrt{2 + 2 \cos \lambda}}$$

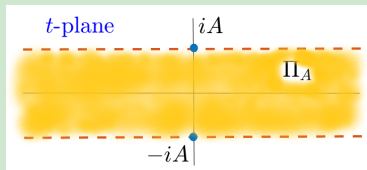
has two homoclinic connection

- Let  $(\lambda_0(t), \Lambda_0(t))$  be the parameterization of the right separatrix with  $\Lambda_0(0) = 0$ .
- It is well known that  $\lambda_0(t)$  is analytic in some complex strip.



## GOAL

To prove that, for some  $A > 0$ , the only singularities of  $\lambda_0(t)$  in  $\overline{\Pi_A}$  are  $\pm iA$ .



However, there is no explicit parameterization with respect to  $t$  of this connection. We only know the relation:

$$t = \int_{\lambda_+}^{\lambda} \frac{1}{\tilde{V}(s)} ds.$$

# COMPLEX SINGULARITIES

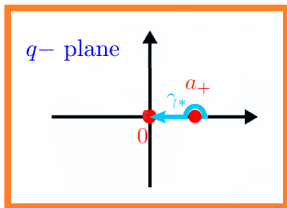
- Take  $q = \cos(\lambda/2)$  and  $a_{\pm} = -\frac{1}{2} \pm \frac{\sqrt{2}}{2}$ . We have the identity:

$$t = \mathcal{F}(q) = \int_{a_+}^q f(s) = \int_{a_+}^q \frac{1}{s-1} \sqrt{\frac{s}{3(s+1)(s-a_+)(s-a_-)}} ds.$$

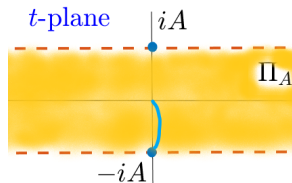
## WHERE THE SINGULARITIES ARE?

$\mathcal{F}$  is analytic in the Riemann surface of  $f$ . Then, if the inverse function theorem can be applied to  $q_h$ ,  $q_0(t)$  will not have singularities at  $t_h = \mathcal{F}(q_h)$ .

Then we have to study  $\mathcal{F}(q_*)$  for  $q_* = -1, a_-, 0, a_+, 1, |q| \rightarrow \infty$  using different complex paths.

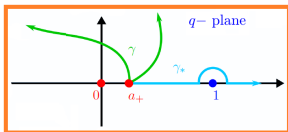


$$\int_{a_+}^0 f(\gamma_*) d\gamma_* = -iA$$

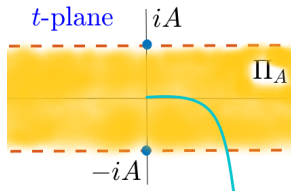


# STRATEGY

We also have



$$\text{Im} \left( \int_{a_+}^{\infty} f(\gamma_*) d\gamma_* \right) < -A$$

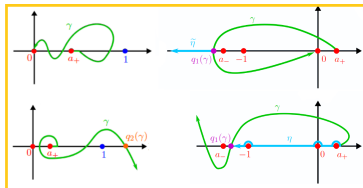


## VISIBLE SINGULARITY

$t_*$  is visible if we can encounter a path  $\gamma_*$  in the  $q$ - complex plane such that  $\text{int}(\mathcal{F}(\gamma_*(\sigma))) \in \Pi_A$  for all  $\sigma$ . Notice that  $\mathcal{F}(\gamma_*)$  is a path in the  $t$ -complex plane.

This definition allows us to search singularities in the first sheet of the Riemann surface.

- We encounter singularities with real part, but having bigger imaginary part.
- We study all the homotopic paths and we conclude that the associated singularity is either  $\pm iA$  or not visible.



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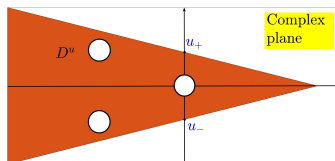
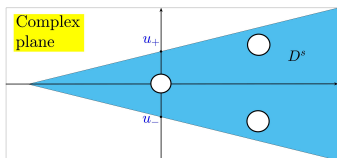
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# SPLITTING STUFF

- Recall that the hamiltonian is

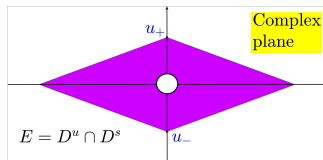
$$-\frac{3}{2}\Lambda^2 + 1 - \cos \lambda - \frac{1}{2 + 2 \cos \lambda} + \frac{xy}{\sqrt{\mu}} + o(1).$$

- We perform the symplectic change of variables  $\lambda = \lambda_0(u)$ ,  $\Lambda = \Lambda_0(u) - \frac{w}{3\Lambda_0(u)}$ .
- We can parameterize the one dimensional invariant manifolds by  $\zeta^{s,u}(u)$  in domains



- For  $u \in E$ , the function  $\Delta\zeta = \pi_{x,y}(\zeta^u - \zeta^s)$  satisfies an equation of the form

$$\partial_u \Delta\zeta = \frac{i}{\sqrt{\mu}} (M + \dots) \Delta\zeta$$



- Since  $\Delta\zeta$  is bounded in the domain  $E$  it has to be exponentially small for real values of  $u$ .

# FINAL COMMENTS

- As far as we know, this is the first time that the complex singularities of the homoclinic are analyzed without an explicit formula for  $\lambda_0(t)$ .
- To prove the existence of  $\zeta^{s,u}$  we use fixed point theorem arguments.
- The hamiltonian we deal with is not explicit! Despite of this, we can perform all the computations.
- We have not proven a bound for the distance, but an asymptotic expression. For that we have had to deal with a better approximation for  $\zeta^{s,u}$  than the homoclinic connection around the singularities  $\pm iA$ .
- This approximation comes from special solutions  $Z^{u,s}$  of the *inner equation* which is explicit:

$$\begin{aligned} \mathcal{H}(U, W, X, Y) = & 1 + \frac{4}{9} U^{-\frac{2}{3}} W^2 - \frac{16}{27} U^{-\frac{4}{3}} W + \frac{16}{81} U^{-2} + \frac{4i}{3} U^{-\frac{2}{3}} (X - Y) \\ & - \frac{4}{9} U^{-1} W(X + Y) + \frac{8}{27} U^{-\frac{5}{3}} (X + Y) - \frac{1}{3} U^{-\frac{4}{3}} (X^2 + Y^2) \\ & + \frac{10}{9} U^{-\frac{4}{3}} XY. \end{aligned}$$

- By using *matching complex* techniques we relate  $\zeta^{s,u}$  with  $Z^{u,s}$  and prove the result.
- It remains a lot of work to do: Smale's horseshoes, Lyapunov orbits, ...



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# THANKS!

